

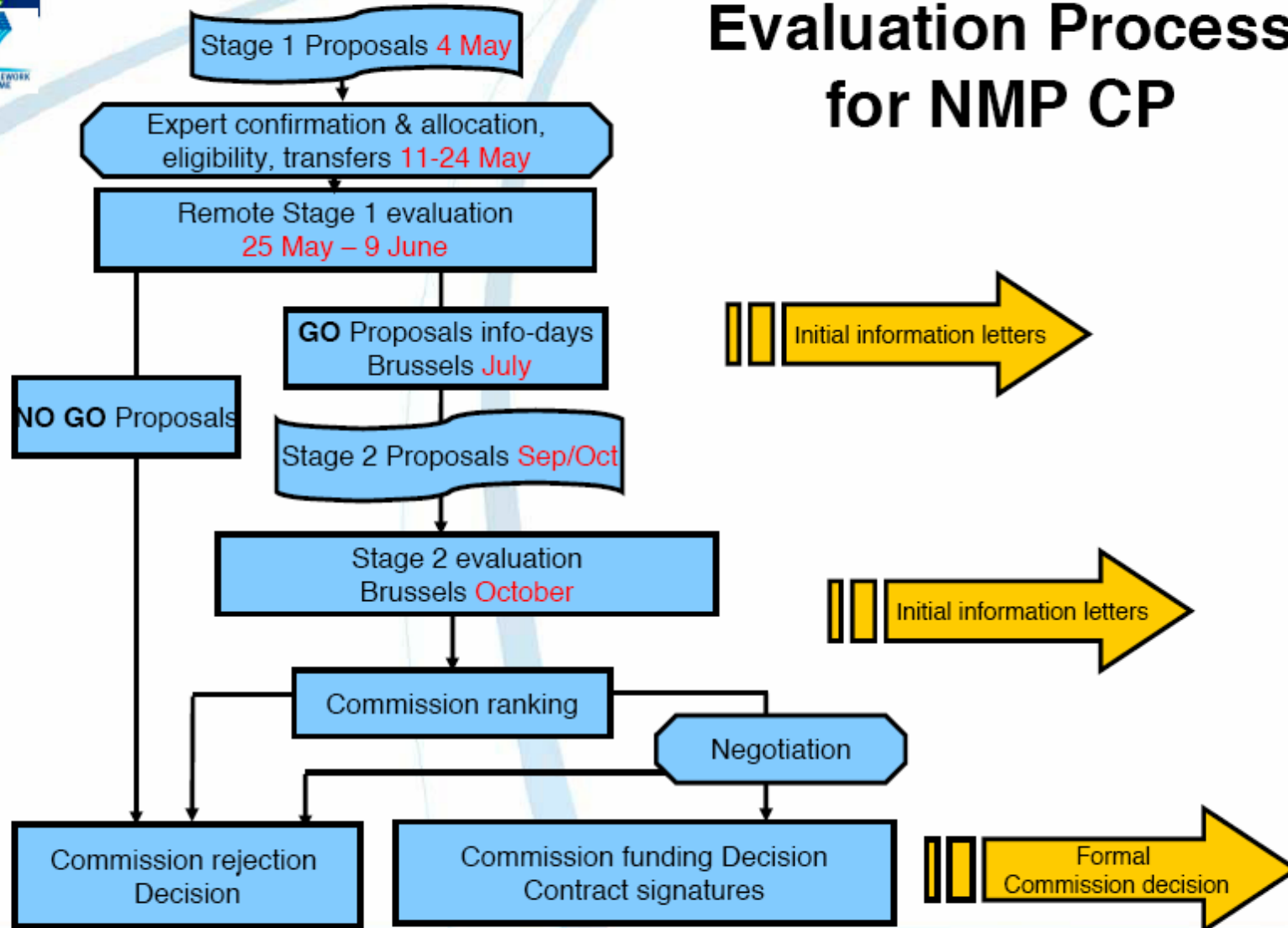
L'esperienza di un valutatore nell'ambito del VII FP

Valter Sergo

CENMAT, centro di eccellenza per i nanomateriali e
Superfici nanostrutturate, Università di Trieste
Via Valerio 2, 34127 Trieste
(sergo@units.it)



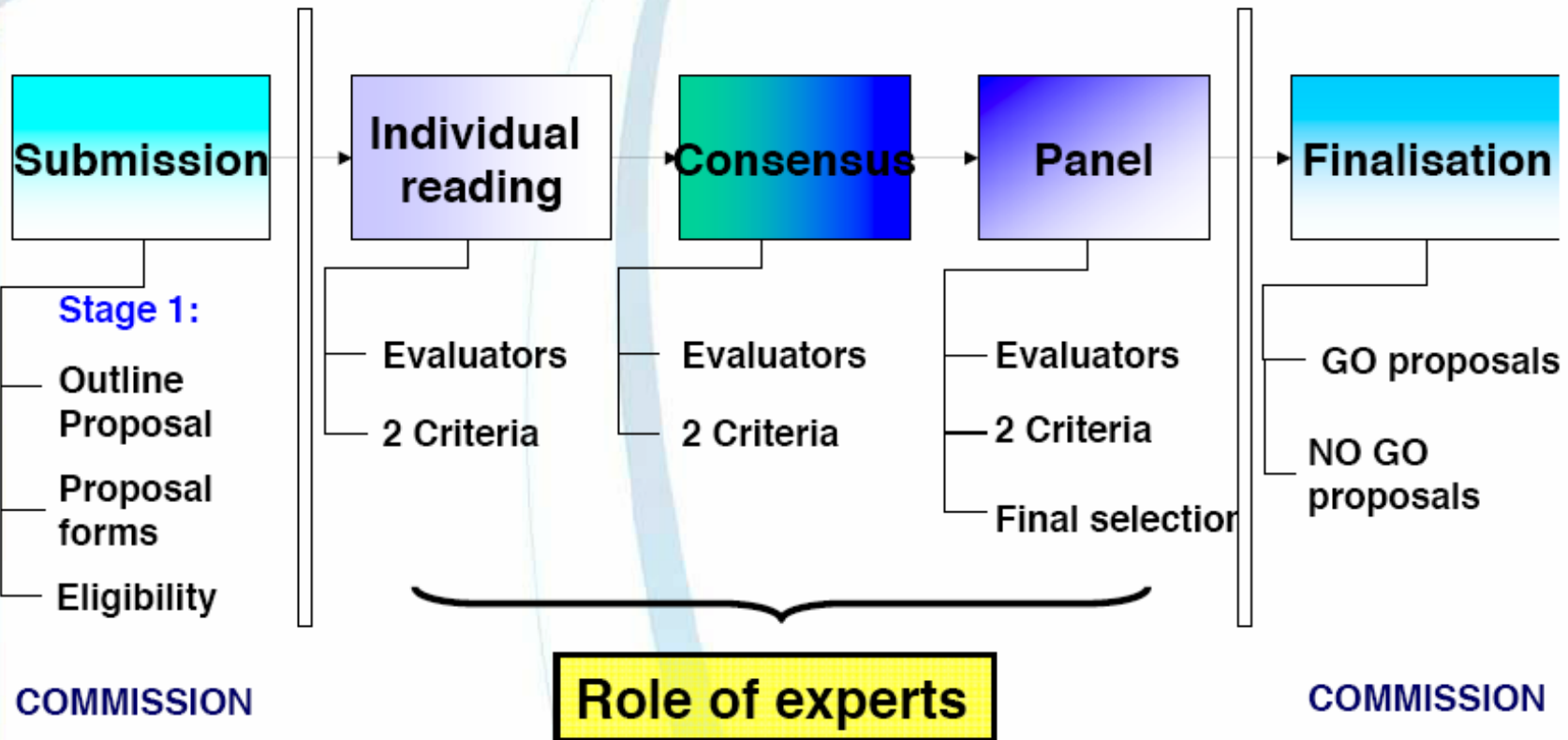
Evaluation Process for NMP CP





Overview of the Evaluation Process

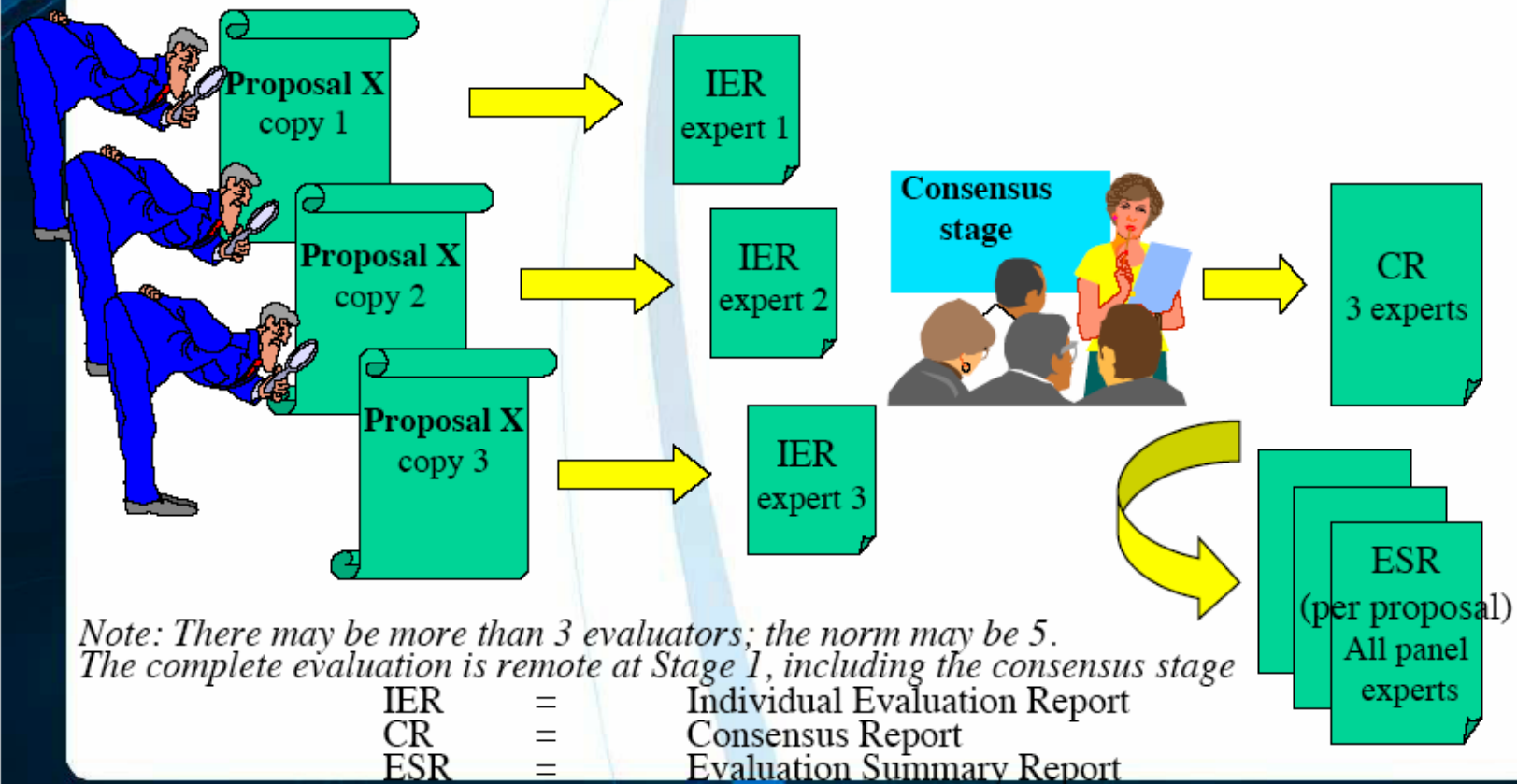
Stage 1



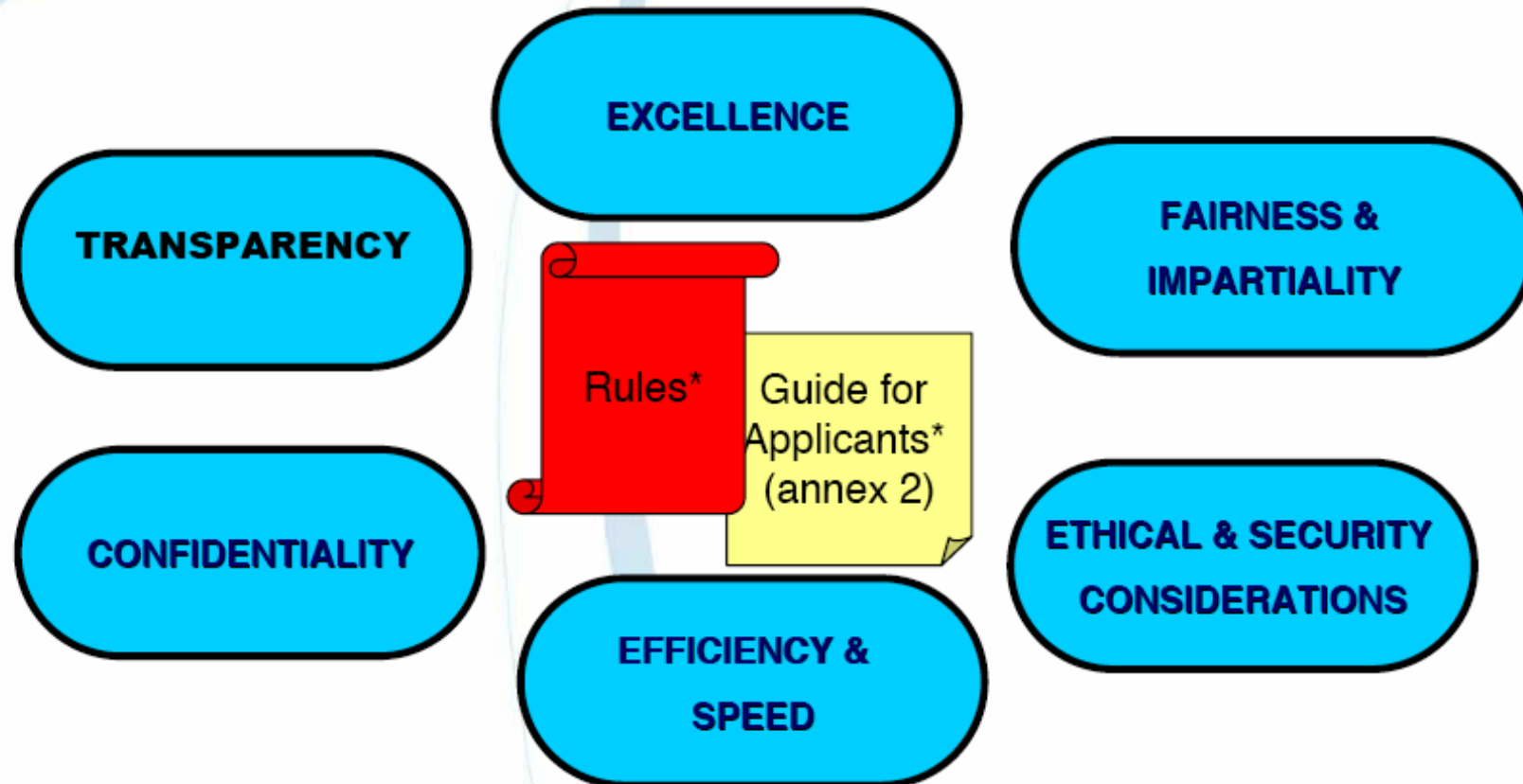


For each proposal:

Remote at stage 1, repeated for GO proposal on site in stage 2



Evaluation Process - Basic Principles



** Evaluators should be familiar with these documents before evaluating*



Confidentiality

- **Do not discuss the content of proposals, or the evaluation results, with anyone**
 - *The sole exception:* your fellow experts who are evaluating the same proposal in a consensus group or final panel, i.e. after the Individual Evaluation stage
- **Do not disclose the names of your fellow experts**
- **The Commission publishes names annually**
 - But as a group – no link between expert and proposal
- **Please retain nothing after the evaluation**
 - Except a feeling of satisfaction of a job well done!



Evaluating a proposal

→ Three guiding principles:

→ *Objectivity*

- each proposal is evaluated as received

→ *Accuracy*

- you make your judgment against the official evaluation criteria, and nothing else

→ *Consistency*

- you apply the same standard of judgment to each proposal



The criteria

1) S/T excellence

- a) Soundness of concept and quality of objectives
- b) Progress beyond the state-of-art
- c) Quality and effectiveness of the S/T methodology and associated work plan

2) Quality and efficiency of the implementation and the management

- a) appropriateness of the management structure and procedures
- b) quality and relevant experience of the individual participants
- c) Quality of the consortium as a whole (including complementarity, balance)
- d) Appropriateness of the allocation and justification of the resources to be committed (budget, staff, equipment)

3) Potential impact through the development, dissemination and use of project results

- a) Contribution at the EU/international level, to the expected impacts listed in the work programme under relevant topic/activity
- b) Appropriateness of measures for the dissemination and/or exploitation of project results, and management of intellectual property



The criteria (2)

→ At Stage 1, you are asked to evaluate:

<p>1. Scientific and/or technological excellence (relevant to the topics addressed by the call)</p> <p><i>Note: when a proposal only partially addresses the topics, this condition will be reflected in the scoring of this criterion.</i></p> <ul style="list-style-type: none">• Soundness of concept, and quality of objectives• Progress beyond the state-of-the-art <p><i>Insert your comments here.</i></p>	<p>Score 1: <i>Threshold 4/5</i></p>
<p>3. Potential impact through the development, dissemination and use of project results</p> <ul style="list-style-type: none">• Contribution, at the European and/or international level, to the expected impacts listed in the work programme under relevant topic/activity <p><i>Note: Refer to the applicable list of impacts specified in the work programme.</i></p> <p><i>Insert your comments here.</i></p>	<p>Score 3: <i>Threshold 3/5</i></p>

At stage 2, beside the previous points, also “**the quality and efficiency of the implementation and the management**” is evaluated

Stage 1 Thresholds

	Criterion	Thresholds
1	S&T Quality	4/5
2	Implementation	-
3	Impact	3/5
Total:		8/10



80%

NOTE: you can pass each treshold and still fail!!!!



Interpretation of the scores

- **0:** *The proposal fails to address the criterion under examination or cannot be judged due to missing or incomplete information*
- **1: Very poor.** *The criterion is addressed in a cursory and unsatisfactory manner.*
- **2: Poor.** *There are serious inherent weaknesses in relation to the criterion in question.*
- **3: Fair.** *While the proposal broadly addresses the criterion, there are significant weaknesses that would need correcting.*
- **4: Good.** *The proposal addresses the criterion well, although certain improvements are possible.*
- **5: Excellent.** *The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor.*



Consensus (1)

- Built on the basis of the individual evaluations
- The aim is agreement on scores and comments
- May involve discussion (e-mail, chat, phone)
- Peripheral opinions need to be explored
 - Not just a simple averaging exercise
 - It is quite normal for individual views to change during discussions
- Moderated by a Commission staff-member
 - helps the group reach a conclusion
 - provides information if necessary
 - does not contribute opinions



The Final Panel

- **To ensure consistency**
- **Consider borderline cases**
- **Resolve any cases where a minority view is recorded in the consensus report**
- **Any special guidance for stage 2 submission**
- **Confirm final marks and comments for each proposal**
 - List of proposals for rejection, with marks
 - Evaluation Summary Reports (ESR)
 - Again, comments must correspond to the score
 - Any adjustment of scores (if necessary) should be carefully justified

Final remarks

(given S/T excellence for granted):

- -demonstrate strict adherence to the scope of the call you apply for and make this easy to locate and to read;
- -present the workplan as simply as possible, but making the details accessible at a closer examination;
- -address the issue of the IP management thoroughly